Form AB-200

Alcohol Beverage License Application

For Municipal Use Only						
Municipality						
icense Period						

License(s) Requested: (up to two boxes may	Fees					
Class "A" Beer \$	Class "B" Beer \$	Lic	License Fees		\$	
Glass A" Liquor \$	"Class B" Liquor \$	—— Ва	ckgroun	d Check Fee	\$	
Glass A" Liquor (cider only) \$	Reserve "Class B" Liquor \$	Pu	Publication Fee \$			
"Class C" Liquor (wine only) \$		То	Total Fees \$			
Part A: Premises/Business Information						
Legal Business Name (individual name if sole pro	prietorsnip)					
2. Business Trade Name or DBA						
3. FEIN	4. Wisconsin Seller's Permit Number					
5. Entity Type (check one)						
Sole Proprietor Partnership	Limited Liability Company	Corpo			fit Organiza	tion
6. State of Organization	7. Date of Organization	8.	vvisconsir	n DFI Registration	on Number	
9. Premises Address		l l				
10. City			State 12. Zip Code			
13. County	14. Governing Municipality: City of:	Town	Town Village 15. Aldermanic District			
16. Premises Phone	17. Premises Email		18. Web	osite		
Premises Description - Describe the building or are kept. Describe all rooms within the building, only on the premises described in this application.	including living quarters. Authorized a	lcohol beverag	e activitie	s and storage o		
20. Mailing Address (if different from premises addre	ss)					
21. City		22.	State	23. Zip Code		
Part B: Questions						
Has the business (sole proprietorship, partner violating federal or state laws or local ordinal partnership).					Yes [No
If yes, list the details of violation below. Attach	ch additional sheets if necessary.					
Law/Ordinance Violated	Location		Tr	ial Date		
Penalty Imposed		Was senten	ce comp	leted?	Yes [No
Law/Ordinance Violated	Location		Tr	ial Date		
Penalty Imposed		Was senten	ce comp	leted?	Yes [No

2. Are charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol Yes No beverages.										
If yes, describe the nature and status of pending charges using the space below. Attach additional sheets as needed.										
Is the applicant business or any o individuals or entities a restricted If yes, provide the name of the results.	investor with any	interest in a	in alcohol be	verage pro	ducer or distribut		∕es			
4. Is the applicant business owned by	v another busine	ss entity?					∕es □ No			
If yes, provide the name(s) and FE										
4a. Name of Business Entity 4b. Business E			Entity FEIN	intity FEIN						
5. Have the partners, agent, or sole partners, agent, a							∕es			
6. Is the applicant business indebted	to any wholesale	er beyond 15	days for bee	r or 30 days	s for liquor/wine?	۱ 🗌	/es No			
7. Does the applicant business owe μ	past due municip	al property ta	xes, assessr	ments, or ot	her fees?	۱ 🗌	res No			
Part C: Individual Information										
List the name, title, and phone number for Question 4: sole proprietor, all officers, dir managers, and agent of a limited liability of	ectors, and agent of	of a corporation	n or nonprofit o							
Include Form AB-100 for each person liste		ions and LLCs			including Form AB-	1				
Last Name	First Name			Title		Phone				
Part D: Attestation	·		,			•				
One of the following must sign and at					-					
	eneral partner of a			corporate of		member of				
READ CAREFULLY BEFORE SIGNING: I am acting solely on behalf of the application rights and responsibilities conferred by the according to the law, including but not line to any portion of a licensed premises dure revocation of this license. I understand the understand that I may be prosecuted for singly provides materially false information.	ant business and n ne license(s), if gra nited to, purchasin ing inspection will l hat any license iss submitting false sta	ot on behalf of nted, will not be g alcohol beve be deemed a rued contrary to tements and a	f any other ind e assigned to grages from sta efusal to allow o Wis. Stat. Ci ffidavits in con	ividual or enterior another individual another individual and another individual and another individual and another individual	tity seeking the lice vidual or entity. I act wholesalers. I un Such refusal is a mhall be void under this application, an	nse. Further, I gree to operate derstand that disdemeanor a penalty of state d that any per	agree that the e this business lack of access nd grounds for te law. I further			
Last Name		First	Name				M.I.			
Title		Email				Phone				
Title		Email				Priorie				
Signature				Date						
Part E: For Clerk Use Only										
	icense Number			Date Lie	cense Granted	Date Licens	e Issued			
Signature of Clerk/Deputy Clerk					Date Provisional L	icense Issued	(if applicable)			

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Form AB-200 Instructions

Alcohol Beverage License Application

Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

Specific Instructions

License Period:

Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by
the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of
issuance.

License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, <u>Information for Wisconsin Alcohol Beverage and Tobacco Retailers</u>, and <u>Face Sheet 3101</u>,
 Licenses for Retail Sale of Alcohol Beverages.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the
 entity when it is registered with DFI. It can be located using the Department of Financial Institution's
 Corporate Records Search.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

Part B: Questions

 Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier.
 The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
 - · The applicant is renewing a license, or
 - Within the past two years:
 - a. The applicant held a manager's or operator's (bartender) license.
 - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

Note: To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

Example: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

Part D: Attestation

• Read the attestation carefully, then sign and date.

Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

Completion and Submission of AB-200

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AB-200, include:
 - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individiuals listed in part C
 - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
 - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- · Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

Note: See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

All other information and documents required by your municipality

NOTE: You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

Open Records

This application is an open record under Wisconsin law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcohol@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas